

RAY K. SHAHANI  
ATTORNEY AT LAW



Registered Patent Attorney - Technology and Intellectual Property Matters  
<http://www.attycubed.com>

Twin Oaks Office Plaza  
477 Ninth Avenue, Suite 112  
San Mateo, California 94402-1854

Telephone: (650) 348-1444  
Facsimile: (650) 348-8655  
e-mail: [rks@attycubed.com](mailto:rks@attycubed.com)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

MADANAT ET AL.

Serial No.: 10/633,092

Filing Date: July 31, 2003

Attorney Docket No.: NM-205

Title: TRAFFIC SIGNAL ELECTRIC  
LAMP ASSEMBLY

**RESPONSE TO NOTICE TO FILE  
MISSING PART DATED 04/21/2004**

Date Mailed: July 28, 2004

Examiner:

Group Art Unit:

Commissioner of Patents and Trademarks  
P. O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING PART DATED 04/21/2004**

Dear Sir,

Applicant is in receipt of NOTICE OF FILE MISSING PARTS OF NONPROVISIONAL APPLICATION mailed April 21, 2004. Thank you for your continued expedient examination of the present Application.

///

PRIOR SUBMISSION

With all due respect, on about 10/29/2003, the PTO issued a NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION which required, *inter alia*, (1) the signature of inventor Girardet; and (2) the NFMP fee of \$65.00.

On about January 29, 2004, Applicant responded with the required fees and a STATEMENT OF FACTS IN SUPPORT OF FILING ON BEHALF OF NON-SIGNING INVENTOR, the submission was accompanied by a PETITION FOR EXTENSION OF TIME and its associated required fees as well.

Submitted herewith please find a copy of the correspondence filed by Applicant on about January 29, 2004. With all due respect, Applicant submits that inventor Girardet is a non-signing inventor.

///

Respectfully submitted,

RAY K. SHAHANI  
ATTORNEY AT LAW

Dated: July 28, 2004

By: Ray K. Shahani, Esq.

**Attorney of Record for Applicant**

Ray K. Shahani, Esq.                      Registration No.: 37,554  
Attorney at Law  
Twin Oaks Office Plaza  
477 Ninth Avenue, Suite 112  
San Mateo, California 94402-1854  
Telephone: (650) 348-1444    Facsimile: (650) 348-8655  
E-mail: rks@attycubed.com

**CERTIFICATE OF MAILING**

I hereby certify that this paper and the documents attached hereto are being deposited in a postage prepaid, sealed envelope with the United States Postal Service using First Class Mail service under 37 CFR 1.08 on the date indicated and is addressed to "Commissioner of Patents and Trademarks, Virginia 22313-1450". Signed: [Signature]  
Date Mailed: July 28, 2004

RAY K. SHAHANI  
ATTORNEY AT LAW



Registered Patent Attorney - Technology and Intellectual Property Matters  
<http://www.attycubed.com>

Twin Oaks Office Plaza  
477 Ninth Avenue, Suite 112  
San Mateo, California 94402-1854

Telephone: (650) 348-1444  
Facsimile: (650) 348-8655  
e-mail: [rks@attycubed.com](mailto:rks@attycubed.com)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

MADANAT ET AL.

Serial No.: 10/633,092

Filing Date: July 31, 2003

Attorney Docket No.: NM-205

Title: TRAFFIC SIGNAL ELECTRIC  
LAMP ASSEMBLY

**FEE AUTHORIZATION**

Examiner:

Group Art Unit:

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**FEE AUTHORIZATION**

Dear Sir:

Please charge the fees of the following to Deposit Account No. 50 3056 (37 C.F.R. § 1.17; PTO

Code 216). A duplicate of this paper is attached.

**Fees:**

- |    |   |                |
|----|---|----------------|
| 1. | Extension for response within second month:           | \$210.00       |
| 2. | Independent claims in excess of three (2 x @\$43.00): | <u>\$86.00</u> |

Total	<u>\$296.00</u>
-------	-----------------

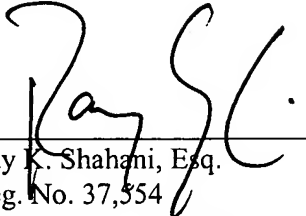
///

Please charge any additional fees, and credit any overpayments, to said Deposit Account. A duplicate of this paper is attached.

Respectfully submitted,

Dated: July 28, 2004

By: \_\_\_\_\_

  
Ray K. Shahani, Esq.  
Reg. No. 37,354  
Attorney for Applicant  
Twin Oaks Office Plaza  
477 Ninth Avenue, Suite 112  
San Mateo, California 94402-1854  
Telephone: (650) 348-1444

RAY K. SHAHANI  
ATTORNEY AT LAW



Registered Patent Attorney - Technology and Intellectual Property Matters  
<http://www.attycubed.com>

Twin Oaks Office Plaza  
477 Ninth Avenue, Suite 112  
San Mateo, California 94402-1854

Telephone: (650) 348-1444  
Facsimile: (650) 348-8655  
e-mail: [rks@attycubed.com](mailto:rks@attycubed.com)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

MADANAT ET AL.

Serial No.: 10/633,092

Filing Date: July 31, 2003

Attorney Docket No.: NM-205

Title: TRAFFIC SIGNAL ELECTRIC  
LAMP ASSEMBLY

TRANSMITTAL

Date Mailed: July 28, 2004

Examiner:

Group Art Unit:

Mail Stop Missing Parts  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Dear Sir,

Enclosed please find the following items for filing in the present case:

1. RESPONSE TO NOTICE TO FILE MISSING PARTS DATED 04/21/2004: 3 page(s);
2. Copy of NOTICE TO FILE MISSING PARTS mailed 04/21/2004: 2 page(s);
3. PETITION FOR EXTENSION OF TIME: 2 page(s);
4. Copy of Applicant's Correspondence dated 01/29/2004: 19 page(s);
5. FEE AUTHORIZATION, RKS, \$296.00: 2 page(s); and
6. Return Receipt Postcard.

///

Transmittal  
Filing Date: July 31, 2003  
Mailing Date: July 28, 2004

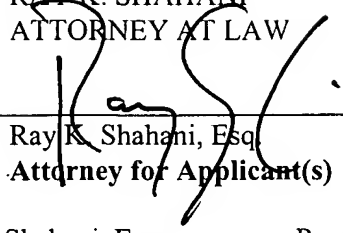
Page 1 of 2

Title: TRAFFIC SIGNAL ELECTRIC LAMP ASSEMBLY  
Serial No.: 10/633,092  
Attorney Docket No.: NM-205

Respectfully submitted,

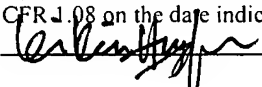
Dated: July 28, 2004

RAY K. SHAHANI  
ATTORNEY AT LAW

By:   
Ray K. Shahani, Esq.  
Attorney for Applicant(s)

Ray K. Shahani, Esq.                      Registration No.: 37,554  
Attorney at Law  
Twin Oaks Office Plaza  
477 Ninth Avenue, Suite 112  
San Mateo, California 94402-1854  
Telephone: (650) 348-1444    Facsimile: (650) 348-8655  
E-mail: [rks@attycubed.com](mailto:rks@attycubed.com)

**CERTIFICATE OF MAILING**

I hereby certify that this paper and the documents attached hereto are being deposited in a postage prepaid, sealed envelope with the United States Postal Service using First Class Mail service under 37 CFR 1.08 on the date indicated and is addressed to "Commissioner for Patents, Alexandria, Virginia 22313-1450". Signed:   
Date Mailed: July 28, 2004



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/633,092	07/31/2003	Azmie K. Madanat	NM-205

Ray K. Shahani, Esq.  
 ATTORNEY AT LAW  
 Twin Oaks Office Plaza  
 477 Ninth Avenue, Suite 112  
 San Mateo, CA 94402-1854

CONFIRMATION NO. 3200

## FORMALITIES LETTER



\*OC000000011132663\*

Date Mailed: 10/29/2003

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Brian R. Girardet*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The following item(s) appear to have been **omitted** from the application:

- Figure(s) 4C described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

Applicant is advised that generally the filing fee required for an application is the filing fee in effect on the filing date accorded the application and that payment of the requisite basic filing fee on a date later than the filing date of the application requires payment of a surcharge (37 CFR 1.16(e)). To avoid processing delays and payment of



a surcharge, applicant should submit any balance due for the requisite filing fee based on the later filing date being requested when submitting the omitted item(s) and the petition (and petition fee) requesting the later filing date.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabeled drawing figures, is required. A copy of the drawing figures showing the proposed changes in red ink should accompany with any drawing changes. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$86 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$151** for a Small Entity


- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$86
  - \$86 for 2 independent claims over 3.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

---

*A copy of this notice **MUST** be returned with the reply.*

---

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

---

1FW



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/633,092	07/31/2003	Azmie K. Madanat	NM-205

CONFIRMATION NO. 3200

## FORMALITIES LETTER



\*OC000000012413972\*

Ray K. Shahani, Esq.  
 ATTORNEY AT LAW  
 Twin Oaks Office Plaza  
 477 Ninth Avenue, Suite 112  
 San Mateo, CA 94402-1854

Date Mailed: 04/21/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Brian R. Girardot*

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$86 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$86 for a Small Entity

- Total additional claim fee(s) for this application is \$86
  - \$86 for 2 independent claims over 3.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents

~~08/03/2004 WASFAW1 00000100 503056 10633092~~

~~01-FC:1201 86.00 DA~~

~~Adjustment date: 08/04/2004 MBELETE1~~

~~08/03/2004 WASFAW1 00000100 503056 10633092~~

~~01-FC:1201 86.00 CR~~

08/04/2004 MBELETE1 00000008 503056 10633092

02 FC:2201 86.00 DA

P.O. Box 1450  
Alexandria VA 22313-1450

---

*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to read "J. J. Lee" or similar, written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE